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Predictive and prognostic value of KRAS mutations in metastatic colorectal cancer patients treated with cetuximab: A meta-analysis of 22 studies

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ABSTRACT

The published data on the predictive and prognostic value of KRAS mutations in metastatic colorectal cancer (mCRC) treated with cetuximab seemed inconclusive. To derive a more precise estimation of the relationship, a meta-analysis was performed. Systematic computerised searches of the PubMed, EMBase, BIOSIS, and SCOPUS were performed. A total of 22 studies were identified. Random-effects model or fix-effects model was used according to between-study heterogeneity. A total of 2188 mCRC patients were included in the final meta-analysis. The rate of KRAS mutations was 38% (829/2188). The overall response rate (ORR) of mutant KRAS patients was 14% (119/829), whereas the ORR of wild-type KRAS patients was 39% (529/1359). The overall pooled relative ratio (RR) for ORR was 0.24 (95% confidence intervals (CI): 0.16-0.38; P < 0.01) when mutant KRAS patients were compared with wild-type KRAS patients. Median PFS was significantly shorter in mutant KRAS patients compared with that in wild-type KRAS patients (3.0 versus 5.8 months; HR = 1.94; 95% CI: 1.62-2.33; P < 0.01). Similarly, median OS was significantly shorter in mutant KRAS patients compared with that in wild-type KRAS patients (6.9 versus 13.5 months; HR = 2.17; 95% CI: 1.72–2.74; P < 0.01). The meta-analysis strongly suggests that KRAS mutations represent adverse predictive and prognostic biomarkers for tumour response and survival in mCRC patients treated with cetuximab. Patients with tumours that harbour mutant-type KRAS are more likely to have a worse response, PFS, and OS when treated with cetuximab.

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1. Introduction

Metastatic colorectal cancer (mCRC) is one of the most common human malignant diseases and one of the leading causes of cancer-related death worldwide. Despite recent advances in chemotherapeutic treatment, the overall survival (OS) is still relatively poor, ^{1,2} and there is a continuous need for more effective therapies. Most recently, cetuximab, an IgG1 monoclonal antibody to the epidermal growth factor receptor (EGFR), has shown relevant clinical activity in

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treatment of patients with chemotherapy-resistant mCRC.3-5 However, resistance to cetuximab was common. Therefore, predictive and prognostic factors are needed to identify the subpopulation of patients who truly benefit from cetuximab because cetuximab is associated with increased treatment costs⁶ and specific toxicity. KRAS is a small G-protein downstream of EGFR, which is an essential component of the EGFR signalling cascade. It can acquire activating mutations in codons 12 and 13, thus isolating the pathway from the effect of EGFR and rendering EGFR inhibitors ineffective. 7 Recently, data from an increasing number of studies have suggested that response to cetuximab seems confined to mCRC patients bearing tumours with wild-type KRAS,8-11 but the results are still inconclusive, partially because of the relatively small sample size of each study. Therefore, it is necessary to perform a meta-analysis of the published studies to derive a more precise estimation of predictive and prognostic values of KRAS mutations in mCRC patients treated with cetuximab.

2. Materials and methods

2.1. Publication search

Systematic computerised searches of the PubMed, EMBase, BIOSIS, and SCOPUS (up to 30th June 2009) were performed. The following search terms were used: 'metastatic colon cancer', 'metastatic rectal cancer', 'metastatic colorectal cancer', 'mCRC', 'KRAS', 'mutation', 'cetuximab'. The search was limited to human studies. Eligible studies that reported complete response (CR) and partial response (PR) stratified by KRAS mutation status were retrieved, and their bibliographies were checked for other relevant publications. When the same patient population was used in several publications, only the most recent, largest or complete study was included in the meta-analysis.

2.2. Data extraction

Information was carefully extracted from all eligible studies. The following data were collected from each study: first author's name, year of publication, study designs, number of patients screened, number of patients with KRAS mutations, location of KRAS mutations, mutation analysis methods, previous treatment protocols, study treatment protocols, response criteria, CR and PR stratified by KRAS mutation status, progression-free survival (PFS) and overall survival (OS) stratified by KRAS mutation status and hazard ratio (HR) with 95% confidence intervals (CI) for PFS or OS. Data extraction was done independently by two of the authors. Any disagreement was resolved by discussion between the two authors. If these two authors could not reach a consensus, another author was consulted to resolve the dispute and a final decision was made by the majority of the votes.

2.3. Statistical methods

The primary end-point was overall response rate (ORR). The ORR was defined as the sum of CR and PR. The correlation between KRAS mutations and ORR was expressed as a relative

ratio (RR) for ORR of mutant KRAS patients over wild-type KRAS patients. Thus, a RR equal to 1 indicates a lack of association between KRAS mutations and cetuximab treatment; a RR more than 1 corresponds to a direct correlation between higher ORR and KRAS mutations and a tendency of mutant KRAS patients to have worse responsiveness is indicated by a RR less than 1. The secondary end-points were PFS and OS. The correlation between KRAS mutations and the secondary end-points was expressed as a HR of mutant KRAS patients over wild-type KRAS patients. Thus, a HR more than 1 indicates that KRAS mutations contribute to shorter PFS and OS; a HR less than 1 indicates that KRAS mutations contribute to longer PFS and OS. The association between KRAS mutations and efficacy of cetuximab therapy was measured by RR and HR with 95% CI. Heterogeneity was checked by a Qtest with a degree of freedom equal to the number of analyzed studies minus 1. A P value of more than 0.10 for the Q-test indicates a lack of heterogeneity across studies, so the pooled RR or HR was calculated by the fixed-effects model. Otherwise, the random-effects model was used. 12 To establish the effect of clinical heterogeneity among studies on meta-analyses' conclusions, subgroup analyses were conducted by study designs, line of treatment and treatment protocols. Sensitivity analyses were carried out to check if modification of the inclusion criteria of the meta-analysis affected the final results. Begg's funnel plots and Egger's linear regression test were used to assess publication bias. 13 Funnel plot asymmetry was assessed by the method of Egger's linear regression test, a linear regression approach to measure funnel plot asymmetry on the natural logarithm scale of the RR. The significance of the intercept was determined by the t-test as suggested by Egger (P < 0.05 was considered representative of statistically significant publication bias). If publication bias existed, the Duval and Tweedie non-parametric 'trim and fill' method was used to adjust it. 14 All the statistical tests used in our meta-analysis were performed with STATA version 10.0 (Stata Corporation, College Station, TX).

3. Results

3.1. Studies characteristics

Based on our search criteria, 22 studies were identified.^{8–11,15–32} A total of 2188 patients were used in the pooled analyses. Table 1 lists the studies identified and their main characteristics. Of the 22 studies, sample sizes ranged from 20 to 277. Eighteen of these studies were of retrospective design. Four of these studies were of prospective design. Cetuximab was given as first-line treatment in three studies and as second line or more in 19 studies. The patients of four studies received cetuximab monotherapy, while the patients of 18 studies received cetuximab-based treatment.

Table 2 lists the effect of KRAS mutation status on the efficacy of cetuximab in mCRC patients. The rate of KRAS mutations ranged from 15% to 53% (median, 38%). The ORR of patients with mutant KRAS ranged from 0% to 46% (median, 3%); the ORR of patients with wild-type KRAS ranged from 13% to 65% (median, 38%). The PFS in mutant KRAS patients ranged from 1.3 to 8.1 months (median, 3.0 months); the PFS in wild-type patients ranged from 1.4 to 10.5 months

Table 1 – Main chara	cteristic	s of studies includ	led in the meta-analysis.				
Author	Year	Study design	Mutation analysis methods	Previous treatment protocols	Study treatment protocols	Response criteria	
Moroni ^{15a}	2005	Retrospective	DS	≥1 Chemotherapy	C alone; or C + I based	RECIST	
Lievre ⁸	2006	Retrospective	DS	≥1 Chemotherapy	C + I; or C + FOLFIRI; or C alone	RECIST	
Benvenuti ^{9b}	2007	Retrospective	DS	I	C alone; or C + I based	RECIST	
Di Fiore ¹⁰	2007	Retrospective	DS	≥1 Chemotherapy	C + I; or C + O	RECIST	
Finocchiaro ¹⁶	2007	Retrospective	Surveyor analysis	I and/or O	C + I; or C + O; OR C alone	RECIST	
Frattini ¹⁷	2007	Retrospective	DS	≥1 Chemotherapy	C + I based; or C + CAPOX	RECIST	
Khambata-Ford ¹⁸	2007	Prospective	DS	≥1 Chemotherapy	С	WHO (modified)	
Bokemeyer ¹⁹	2008	Retrospective	Quantitative PCR-based assay	NO	C + FOLFIRI	RECIST	
Cappuzzo ²⁰	2008	Retrospective	Surveyor analysis	I and/or O	C	RECIST	
De Roock ¹¹	2008	Retrospective	AD + DS	I	C + I; or C alone	RECIST	
Gonçalves ²¹	2008	Retrospective	DS	≥1 Chemotherapy	C + I; or C alone; or I alone	WHO	
Karapetis ^{22c}	2008	Prospective	DS	≥1 Chemotherapy	C + supportive care	RECIST	
Lievre ²³	2008	Retrospective	AD + DS	I based	C + I; or C + FOLFIRI; or C alone	RECIST	
Lurje ²⁴	2008	Retrospective	DS	≥1 Chemotherapy	С	WHO	
Tejpar ²⁵	2008	Prospective	Allele-specific quantitative PCR	I based	C alone; or C + I	RECIST	
Bibeau ²⁶	2009	Retrospective	DS	I based	C + I	RECIST	
Garm Spindler ²⁷	2009	Prospective	DS + DxS	≥1 Chemotherapy	C + I	RECIST	
Loupakis ²⁸	2009	Retrospective	DS	I based	C + I	RECIST	
Prenen ²⁹	2009	Retrospective	AD + DS	I based	C + I; or C alone;	RECIST	
Sartore-Bianchi ³⁰	2009	Retrospective	DS	≥1 Chemotherapy	C alone; P alone; or C + I based;	RECIST	
Tol ³¹	2009	Retrospective	DXS + DS	NO	Apecitabine + Bevacizumab + C	RECIST	
Van Cutsem ³²	2009	Retrospective	Melting curve analysis	NO	C + FOLFIRI	WHO (modified)	

DS = direct sequencing; AD = allelic discrimination; DxS = approved kit assessing the six most frequent point mutations of codon 12 and the most frequent point mutation of codon 13 (G > D) by allelic discrimination; C = cetuximab; I = irinotecan; O = oxaliplatin; FOLFIRI = fluorouracil, folinic acid, and irinotecan; CAPOX = oxaliplatin and capecitabine.

^a Ten patients receiving single-agent Panitumumab were not extractable from the population.

^b Twenty five patients receiving single-agent Panitumumab were not extractable from the population.

^c Two hundred and eighty five patients receiving supportive care alone were not extractable from the population.

Author	Year	Location	Tumours		ORR (%)		PFS months			
			evaluated	(%)	Mut KRAS	Wt KRAS	Wt KRAS (95% CI)	Mut KRAS (95% CI)	HR (95% CI)	
Moroni ¹⁵	2005	Exon2	20	3(15)	0(0)	7(41)	_	_	_	
Lievre ⁸	2006	Exon1	30	13(43)	0(0)	11(65)	_	_	_	
Benvenuti ⁹	2007	Exon2	21	5(24)	0(0)	6(38)	_	_	_	
Di Fiore ¹⁰	2007	Exon2	59	16(27)	0(0)	12(28)	5.5	3.0	_	
Finocchiaro ¹⁶		Exon2	81	32(40)	2(6)	13(27)	6.1	3.7	_	
Frattini ¹⁷		Exon2	27	10(37)	1(10)	9(53)	_	_	_	
Khambata-Ford ¹⁸		Exon2	80	30(38)	3(10)	24(48)	2.03	1.97	1.40(0.87-2.6	
Bokemeyer ¹⁹		Exon2	113	52(46)	17(52)	37(61)	7.7	5.5	_	
Cappuzzo ²⁰		Exon1/Exon2		42(53)	4(10)	10(26)	5.4	4.4	_	
De Roock ¹¹		Exon2	108	42(39)	0(0)	27(41)	6.0(4.3–7.8)	3(1.8–4.2)	_	
Gonçalves ²¹		Exon1	34	16(47)	2(13)	7(39)		4.7(2.7–11.3)	_	
Karapetis ²²		Exon2	198				3.7	1.8	_	
Lievre ²³				81(41)	1(1)	15(13)			2 20/2 00 5	
		Exon2	89	24(27)	0(0)	26(40)	7.9(4.9–9.0)	2.5(2.0–4.0)	3.30(2.00–5.	
Lurje ²⁴		Exon2	114	37(32)	0(0)	12(16)	1.4(1.3–2.4)	1.3(1.2–1.6)		
Tejpar ²⁵		Exon2	77	30(39)	0(0)	17(36)	5.8(4.7–6.8)	2.8(2.5–3.0)		
Bibeau ²⁶		Exon2	64	27(42)	1(4)	10(27)	5.3(4.0–8.4)	3.0(2.2–3.2)	1.80(1.10–3.	
Garm Spindler ²⁷		Exon2	64	22(34)	0(0)	17(40)	8.4(8.0–10.5)		2.74(1.11–6.	
Loupakis ²⁸		Exon2	88	35(40)	2(6)	13(25)	4.2	3.1	2.22(1.35–3.	
Prenen ²⁹		Exon2	199	77(39)	1(1)	37(30)	6(5.5–6.5)	3.0(2.15-3.85)	1.79(1.30–2.	
Sartore-Bianchi ³⁰	2009	Exon2	109	32(29)	2(6)	20(26)	-	-	1.50(0.89–2.	
Tol ³¹	2009	Exon2	256	98(38)	45(46)	97(61)	10.5	8.1	_	
Van Cutsem ³²	2009	Exon2	277	105(38)	38(36)	102(59)	9.9	7.6	-	
Author		Year	Location		OS months					
				W	t KRAS (95%	CI)	Mut KRAS (9	5% CI)	HR (95% CI)	
Moroni ¹⁵		2005	Exon2		_				-	
Lievre ⁸		2006	Exon1		16.3		6.9		-	
Benvenuti ⁹		2007	Exon2		_		-		-	
Di Fiore ¹⁰		2007	Exon2		_		_		_	
Finocchiaro ¹⁶		2007	Exon2		10.8		8.3		_	
Frattini ¹⁷		2007	Exon2		_		_		_	
Khambata-Ford ¹⁸			Exon2		_		_		_	
Bokemeyer ¹⁹			Exon2		_		_		_	
Cappuzzo ²⁰			Exon1/Exon2	2	10.8		9.5		_	
De Roock ¹¹			Exon2		10.8(8.9–12.6	5)	6.8(3.0–10).7)	_	
Gonçalves ²¹			Exon1		20.8	• •	13.8	··· ,	_	
Karapetis ²²			Exon2		9.5		4.5		_	
Lievre ²³			Exon2		3.3 14.3(9.4–20.0))	10.1(5.1–1	13.0)	2.40(1.40–4.10	
Lurje ²⁴			Exon2		14.3(3.4–20.0 6.6(4.3–8.9)	'I	4.9(2.8–6.		-	
Tejpar ²⁵			Exon2				1 .5(2.6–6.	٠,		
Bibeau ²⁶							_			
			Exon2		17.0/11.1.10	2)	E 0/2 0 0	0)	2 22/1 10 0 6	
Garm Spindler ²⁷			Exon2		17.0(11.1–18.	.∠)	5.9(3.8–9.	•	3.22(1.19–8.67	
Loupakis ²⁸			Exon2		13.5	- \	6.1		2.22(1.54–4.55	
Prenen ²⁹			Exon2		11.25(9.0–13.	.5)	6.5(4.7–8.	3)	2.00(1.45–2.70	
Sartore-Bianchi ³⁰			Exon2		_				-	
Tol ³¹			Exon2		21.8		17.2		-	
Van Cutsem ³²		2009	Exon2		24.9		17.5			

(median, 5.8 months). The OS in mutant KRAS patients ranged from 4.5 to 17.5 months (median, 6.9 months); the OS in wild-type patients ranged from 6.6 to 24.9 months (median, 13.5 months).

3.2. Main results of overall response rate

The association between KRAS mutations and ORR is summarised in Table 3. The ORR of mCRC patients with mutant KRAS

was 14% (119/829), whereas the ORR of mCRC patients with wild-type KRAS was 39% (529/1359). When the mutant KRAS patients were compared with the wild-type KRAS patients, the overall RR was 0.24 (95% CI: 0.16–0.38; P < 0.01). In the subgroup analysis by retrospective design or prospective design, the pooled RR was 0.30 (95% CI: 0.19–0.46; P < 0.01) and 0.11 (95% CI: 0.05–0.26; P < 0.01), respectively. In the subgroup analysis by first line treatment or more, the pooled RR was 0.65 (95% CI: 0.55–0.78; P < 0.01) and 0.13 (95% CI: 0.09–0.20;

	No. of studies	Overall response rate (%)		Test of association		Test of heterogeneity		
		Mutant KRAS	Wild-type KRAS	RR (95% CI)	P	Q	P	I ² (%)
All studies Total	22	119/829(14)	529/1359(39)	0.24(0.16–0.38)	<0.01	68.87	<0.01	70
Study design Prospective Retrospective	4 18	4/163(2) 115/666(17)	73/256(29) 456/1103(41)	0.11(0.05–0.26) 0.30(0.19–0.46)	<0.01 <0.01	1.96 49.98	0.58 <0.01	0 66
Line of treatment First-line >Fist-line	t 3 19	100/255(39) 19/574(3)	236/391(60) 293/968(30)	0.65(0.55–0.78) 0.13(0.09–0.20)	<0.01 <0.01	2.11 12.86	0.35 0.80	5 0
Study treatment C alone C based	4 18	8/190(4) 111/639(17)	61/282(22) 468/1077(43)	0.19(0.10–0.39) 0.26(0.16–0.42)	<0.01 <0.01	2.17 57.54	0.54 <0.01	0 71
Studies (second-l Total	ine treatment or mo	ore) 19/574(3)	293/968(30)	0.13(0.09–0.20)	<0.01	12.86	0.80	0
Study design Prospective Retrospective	4 15	4/163(2) 15/411(4)	73/256(29) 220/712(31)	0.11(0.05–0.26) 0.14(0.09–0.22)	<0.01 <0.01	1.96 10.46	0.58 0.73	0
Study treatment C alone C based	4 15	8/190(4) 11/384(3)	61/282(22) 232/686(34)	0.19(0.10–0.39) 0.11(0.07–0.19)	<0.01 <0.01	2.17 9.55	0.54 0.79	0

P < 0.01), respectively. For studies evaluating cetuximab monotherapy and KRAS mutations, the pooled RR was 0.19 (95% CI: 0.10–0.39; P < 0.01); for studies evaluating cetuximab-based treatment and KRAS mutations, the pooled RR of 0.26 (95% CI: 0.16–0.42; P < 0.01) was estimated.

3.3. Main results of progression-free survival

Data for KRAS mutations and PFS were reported in 17 studies, with 1981 patients. However, only seven studies provided data on HR with 95% CI for PFS. The median of PFS in KRAS mutant or wild-type patients was 3.0 and 5.8 months, respectively. KRAS mutations had adverse effect on PFS (HR = 1.94; 95% CI: 1.62–2.33; P < 0.01), with no heterogeneity between studies (P = 0.25; $I^2 = 24\%$).

3.4. Main results of overall survival

Information concerning OS was available in thirteen studies, with 1618 patients. Only four studies presented data on HR with 95% CI for OS. The median of OS in KRAS mutant or wild-type patients was 6.9 and 13.5 months, respectively. KRAS mutations had adverse effect on OS (HR = 2.17; 95% CI: 1.72-2.74; P < 0.01), with no heterogeneity between studies (P = 0.80; $I^2 = 0\%$).

3.5. Sensitivity analyses

Sensitivity analyses were conducted in an attempt to check if modification of the inclusion criteria of this meta-analysis affected the final results. These were carried out by limiting the meta-analysis to studies evaluating the effect of KRAS mutation status in response to cetuximab in second-line treatment or more. All the results were not materially altered, which suggests that the results are statistically robust (Table 3). Also, no heterogeneity was found in overall or subgroup analysis.

3.6. Publication bias

Begg's funnel plot for RRs of ORR seemed asymmetry (figure not shown). Egger's test (P < 0.01) provided statistically significant evidence for the funnel plot asymmetry in the comparison of the RR of ORR in mutant KRAS patients versus the wild-type KRAS patients in overall studies. Publication bias was also found for KRAS mutations and OS by Egger's test (P = 0.02). The Duval and Tweedie non-parametric 'trim and fill' method was used to adjust for publication bias. Meta-analyses with and without 'trim and fill' method did not draw different conclusions (data not shown), indicating that our results were statistically robust. No publication bias was found for KRAS mutations and PFS by Egger's test (P = 0.62).

4. Discussion

A previous meta-analysis including eight studies and a total of 817 cases of mCRC has provided evidence that KRAS mutations are highly specific negative predictors of response to anti-EGFR monoclonal antibodies alone or in combination with chemotherapy in patients with mCRC. ³³ However, only eight studies were involved in the previous meta-analysis and the pooled sample size was relatively small. Stratified analysis based on such as different study designs, line of treatment, and treatment protocols was not performed. Data concerning PFS and OS were also not available in the previous

meta-analysis. Since then, several additional studies with a larger sample size about this relationship have been reported; to address a more precise estimation of predictive and prognostic values of KRAS mutations in mCRC patients treated with cetuximab, we performed this meta-analysis.

A total of 22 studies were included in the final meta-analysis, consisting of 2188 mCRC patients, of whom 829 had KRAS mutations (38%). The overall RR of ORR indicated that mCRC patients with mutant KRAS were less sensitive to cetuximab than those with wild-type KRAS. Subgroup analyses were conducted on the basis of different study designs (retrospective design and prospective design), line of treatment (first-line treatment and second-line treatment or more) and treatment protocols (cetuximab monotherapy and cetuximab-based treatment), all the results were not materially altered and did not draw different conclusions, indicating that our results were robust.

Data concerning PFS and OS were available in only seven and four studies,respectively. Median of PFS and OS in KRAS mutant and wild-type patients was 3.0 versus 5.8 months, and 6.9 versus 13.5 months, respectively. The PFS was significantly shorter in mutant KRAS patients compared with that in wild-type KRAS patients (HR = 1.94; 95% CI: 1.62–2.33; P < 0.01). Similarly, the OS was significantly shorter in mutant KRAS patients compared with that in wild-type KRAS patients (HR = 2.17; 95% CI: 1.72–2.74; P < 0.01).

Heterogeneity is a potential problem that may affect the interpretation of the results of all meta-analyses. Significant between-study heterogeneity for RRs of ORR existed in overall comparisons. After subgroup analysis by line of treatment, the heterogeneity was effectively removed. When sensitivity analyses were performed by excluding studies evaluating the effect of KRAS mutation status in response to first-line cetuximab treatment, the heterogeneity was removed from overall comparisons and subgroup comparisons. One reason is that the ORR, PFS and OS of KRAS mutant patients in the first-line studies were significantly better than those in the second-line treatment or more studies. Another factor is that the line of treatment might have an influence on the relationship between KRAS mutation status and ORR.

Our studies had several limitations that need to be taken into consideration when interpreting the findings. First, only seven studies presented data on HR with 95% CI for PFS and only four studies presented data on HR with 95% CI for OS. The relatively small sample size might not have enough statistic power to detect the real association; second, our result was based on unadjusted estimates, while a more precise analysis should be conducted if a more detailed individual data were available, which would allow for an adjusted estimate by other factors such as age, sex, ethnicity, treatment protocols and other biomarkers.

Despite these limitations, our meta-analysis strongly suggests that KRAS mutations represent adverse predictive and prognostic biomarkers for tumour response and survival in mCRC patients treated with cetuximab. Patients with tumours that harbour mutant-type KRAS are more likely to have a worse response, PFS, and OS when treated with cetuximab. However, large prospective studies using standardised unbiased methods are needed, using homogeneous CRC patients, with assessors blinded to the clinical data. Moreover, other

biomarkers such as EGFR gene amplification, ^{15,20} PTEN expression^{17,34} and BRAF mutation^{11,20} and biomarkers of side-effects should also be considered. Such studies taking the above mentioned factors into account may eventually lead to a comprehensive recommendation for individualisation and optimisation of chemotherapy for mCRC patients on the basis of KRAS mutations and other biomarkers' measurements.

Conflict of interest statement

None declared.

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